

Form PTO-1449 (modified)

List of Patents and Publications

For Applicant's Information

ATTY. DKT. NO. 5181-65400

Disclosure Statement

(Use several sheets if necessary)

AUG 13 2001  
APPLICANT: Slaughter et al.  
PATENT & TRADEMARK OFFICE

SERIAL NO. 09/660,553

GROUP: 2152

2153

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U.S. PATENT AND TRADEMARK OFFICE  
SEP 12 2000  
FILING DATE: September 12, 2000

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B3	Ahmed, et al., "A Program Building Tool for Parallel Applications," Yale University, pp. 1-23, December 1, 1993.
B4	Aldrich, et al., "Providing Easier Access to Remote Objects in Client-Server Systems," System Sciences, 1998, Proceedings of the 31 <sup>st</sup> Hawaii Internat'l. Conference, Jan. 6-9, 1998, pp. 366-375.
B5	Aldrich, et al., "Providing Easier Access to Remote Objects in Distributed Systems," Calif. Institute of Technology, <a href="http://www.cs.Caltech.edu/%7Ejedi/paper.html">www.cs.Caltech.edu/%7Ejedi/paper.html</a> , Nov. 21, 1997.
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B9	Beech, et al., "Object Databases as Generalizations of Relational Databases," Computer Standards & Interfaces, Vol. 13, Nos. 1/3 pp. 221-230, Amsterdam, NL, January 1991.
B10	Bertino, et al., "Object-Oriented Database Management Systems: Concepts and issues,: Computer, Vol. 24, No. 4, pp. 33-47, Los Alamitos, CA, April 1991.
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B12	Bevan, et al., "An Efficient Reference Counting Solution To The Distributed Garbage Collection Problem," Parallel Computing, NL, Elsevier Science Publishers, Amsterdam, Vol. 9, No. 2, pages 179-192, January 1989.
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B16	Birrell, et al., "Implementing Remote Procedure Calls," ACM Transactions on Computer Systems, Vol. 2, No. 1, pp. 39-59, February 1994.
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

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	B22	Chung, et al., "A Tiny' Pascal Compiler: Part 1: The P-Code Interpreter," BYTE Publications, Inc., September 1978.
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	B36	Gottlob, et al., "Extending Object-Oriented Systems with Roles," ACM Transactions On Information Systems, Vol. 14, NO. 3, pp. 268-296, July 1996.
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	B53	Venners, "Jini Technology, Out of the Box," JAVAWorld, 'Online!', pp. 1-4, December 1998.
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	B77	Jones, et al., "Garbage Collection: Algorithms for Automatic Dynamic Memory Management," pages 165-175, John Wiley & Sons, 1996.
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U.S. DEPARTMENT OF COMMERCE

APPLICANT: Slaughter et al.

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**OTHER ARTICLES (Including Author, Title, Date, Pertinent Pages, Etc.)**

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			FILING DATE: September 12, 2000	GROUP: 2152	2153		
<b>U.S. PATENT DOCUMENTS</b>							
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
K	A1	5,455,952	10/3/95	Gjovaag			
/	A2	5,386,568	1/31/95	Wold, et al.			
/	A3	5,423,042	6/6/95	Jalili, et al.			
/	A4	5,481,721	1/2/96	Serlet, et al.			
/	A5	5,557,798	9/17/96	Skeen, et al.			
/	A6	5,944,793	8/31/99	Islam, et al.			
/	A7	5,946,485	8/31/99	Weeren, et al.			
/	A8	5,946,694	8/31/99	Copeland, et al.			
/	A9	4,491,946	1/1/85	Kryshow, Jr., et al.			
/	A10	4,713,806	12/15/87	Oberlander, et al.			
/	A11	4,809,160	2/28/89	Mahon, et al.			
/	A12	4,939,638	7/3/90	Stephenson, et al.			
/	A13	5,088,036	2/11/92	Ellis, et al.			
/	A14	4,823,122	4/18/89	Mann, et al.			
/	A15	4,956,773	9/11/90	Saito, et al.			
/	A16	5109,486	4/28/92	Seymour			
/	A17	5,187,787	2/16/93	Skeen, et al.			
/	A18	5,218,699	6/8/93	Brandle, et al.			
/	A19	5,257,369	10/26/93	Skeen, et al.			
/	A20	5,293,614	3/8/94	Ferguson, et al.			
/	A21	5,297,283	3/22/94	Kelly, Jr., et al.			
/	A22	5,307,490	4/26/94	Davidson, et al.			
/	A23	5,311,591	5/10/94	Fischer			
/	A24	5,339,435	8/16/94	Lubkin, et al.			
✓	A25	5,390,328	2/14/95	Frey, et al.			
✓	A26	5,440,744	8/8/95	Jacobson, et al.			

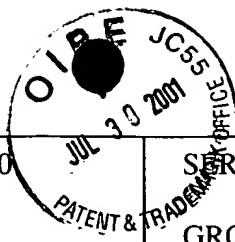
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<i>ke</i>	A27	5,448,740	9/5/95	Kiri, et al.			
	A28	5,452,459	9/19/95	Drury, et al.			
	A29	5,471,629	11/28/95	Risch			
	A30	5,475,792	12/12/95	Stanford, et al.			
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	A32	5,504,921	4/2/96	Dev, et al.			
	A33	5,511,197	4/23/96	Hill, et al.			
	A34	5,524,244	6/4/96	Robinson, et al.			
	A35	5,553,282	9/3/96	Parrish, et al.			
	A36	5,555,367	9/10/96	Premerlani, et al.			
	A37	5,555,427	9/10/96	Aoe, et al.			
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	A43	5,680,573	10/21/97	Rubin, et al.			
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	A45	5,684,955	11/4/97	Meyer, et al.			
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	A47	5,706,435	1/6/98	Barbara, et al.			
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<i>Re</i>	A54	5,644,768	7/1/97	Periwal, et al.			
	A55	5,652,888	7/29/97	Burgess, et al.			
	A56	5,655,148	8/5/97	Richman, et al.			
	A57	5,659,751	8/19/97	Heninger			
	A58	5,671,225	9/23/97	Hooper, et al.			
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	A66	5,754,849	5/19/98	Dyer, et al.			
	A67	5,757,925	5/26/98	Faybisenko			
	A68	5,761,656	6/2/98	Ben-Shachar			
	A69	5,764,897	6/9/98	Khalidi			
	A70	5,768,532	6/16/98	Megerian			
	A71	5,774,551	6/30/98	Wu, et al.			
	A72	5,778,228	7/7/98	Wei			
	A73	5,778,368	7/7/98	Hogan, et al.			
	A74	5,787,425	7/28/98	Bigus			
	A75	5,787,431	7/28/98	Shaughnessy			
	A76	5,790,548	8/4/98	Sistanizadeh, et al.			
	A77	5,802,367	9/1/98	Held, et al.			
	A78	5,808,911	9/15/98	Tucker, et al.			
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EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
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	A83	5,815,711	9/29/98	Sakamoto, et al.			
	A84	5,818,448	10/6/98	Katiyar			
	A85	5,829,022	10/27/98	Watanabe, et al.			
	A86	5,832,219	11/3/98	Pettus			
	A87	5,832,529	11/3/98	Wollrath, et al.			
	A88	5,832,593	11/10/98	Wurst, et al.			
	A89	5,835,737	11/10/98	Sand, et al.			
	A90	5,842,018	11/24/98	Atkinson, et al.			
	A91	5,844,553	12/1/98	Hao, et al.			
	A92	5,845,129	12/1/98	Wendorf, et al.			
	A93	5,860,004	1/12/99	Fowlow, et al.			
	A94	5,860,153	1/12/99	Matena, et al.			
	A95	5,864,862	1/26/99	Kriens, et al.			
	A96	5,864,866	1/26/99	Henckel, et al.			
	A97	5,872,928	2/16/99	Lewis, et al.			
	A98	5,872,973	2/16/99	Mitchell, et al.			
	A99	5,875,335	2/23/99	Beard			
	A100	5,878,411	3/2/99	Burroughs, et al.			
	A101	5,884,024	3/16/99	Lin, et al.			
	A102	5,884,079	3/16/99	Furusawa			
	A103	5,887,134	3/23/99	Ebrahim			
	A104	5,890,158	3/30/99	House, et al.			
	A105	5,892,904	4/6/99	Atkinson, et al.			
✓	A106	5,933,497	8/3/99	Beetcher, et al.			
	A107	5,935,249	8/10/99	Stern, et al.			

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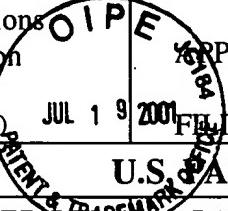
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<b>Form PTO-1449 (modified)</b> List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)			ATTY. DKT. NO. 5181-72000 <b>APPLICANT:</b> Slaughter et al <b>FILING DATE:</b> September 15, 2000	SERIAL NO. 09/660,553 <b>GROUP:</b> UNKNOWN <i>2153</i>			
<b>U.S. PATENT DOCUMENTS</b>							
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A1	5,455,952	10/3/95	Gjovaag			
	A2	5,386,568	1/31/95	Wold, et al.			
	A3	5,423,042	6/6/95	Jalili, et al.			
	A4	5,481,721	1/2/96	Serlet, et al.			
	A5	5,557,798	9/17/96	Skeen, et al.			
	A6	5,944,793	8/31/99	Islam, et al.			
	A7	5,946,485	8/31/99	Weeren, et al.			
	A8	5,946,694	8/31/99	Copeland, et al.			
	A9	4,491,946	1/1/85	Kryshow, Jr., et al.			
	A10	4,713,806	12/15/87	Oberlander, et al.			
	A11	4,809,160	2/28/89	Mahon, et al.			
	A12	4,939,638	7/3/90	Stephenson, et al.			
	A13	5,088,036	2/11/92	Ellis, et al.			
	A14	4,823,122	4/18/89	Mann, et al.			
	A15	4,956,773	9/11/90	Saito, et al.			
	A16	5109,486	4/28/92	Seymour			
	A17	5,187,787	2/16/93	Skeen, et al.			
	A18	5,218,699	6/8/93	Brandle, et al.			
	A19	5,257,369	10/26/93	Skeen, et al.			
	A20	5,293,614	3/8/94	Ferguson, et al.			
	A21	5,297,283	3/22/94	Kelly, Jr., et al.			
	A22	5,307,490	4/26/94	Davidson, et al.			
	A23	5,311,591	5/10/94	Fischer			
	A24	5,339,435	8/16/94	Lubkin, et al.			
	A25	5,390,328	2/14/95	Frey, et al.			
	A26	5,440,744	8/8/95	Jacobson, et al.			

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<b>Form PTO-1449</b> (modified) List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)			ATTY. DKT. NO. 5181-72000 APPLICANT: Slaughter et al JUL 19 2001 FILING DATE: September 15, 2000			SERIAL NO. 09/660,553 GROUP: UNKNOWN		
								
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	A27	5,448,740	9/5/95	Kiri, et al.				
	A28	5,452,459	9/19/95	Drury, et al.				
	A29	5,471,629	11/28/95	Risch				
	A30	5,475,792	12/12/95	Stanford, et al.				
	A31	5,475,817	12/12/95	Waldo, et al.				
	A32	5,504,921	4/2/96	Dev, et al.				
	A33	5,511,197	4/23/96	Hill, et al.				
	A34	5,524,244	6/4/96	Robinson, et al.				
	A35	5,553,282	9/3/96	Parrish, et al.				
	A36	5,555,367	9/10/96	Premerlani, et al.				
	A37	5,555,427	9/10/96	Aoe, et al.				
	A38	5,560,003	9/24/96	Nilsen, et al.				
	A39	5,561,785	10/1/96	Blandy, et al.				
	A40	5,577,231	11/19/96	Scalzi, et al.				
	A41	5,594,921	1/14/97	Pettus				
	A42	5,603,031	2/11/97	White, et al.				
	A43	5,680,573	10/21/97	Rubin, et al.				
	A44	5,680,617	10/21/97	Gough, et al.				
	A45	5,684,955	11/4/97	Meyer, et al.				
	A46	5,689,709	11/18/97	Corbett, et al.				
	A47	5,706,435	1/6/98	Barbara, et al.				
	A48	5,706,502	1/6/98	Foley, et al.				
	A49	5,724,588	3/3/98	Hill, et al.				
	A50	5,727,145	3/10/98	Nessett, et al.				
	A51	5,617,537	4/1/97	Yamada, et al.				
	A52	5,628,005	5/6/97	Hurvig				
	A53	5,640,564	6/17/97	Hamilton, et al.				

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<p><b>Form PTO-1449 (modified)</b>  <b>List of Patents and Publications</b>  <b>For Applicant's Information</b>  <b>Disclosure Statement</b>  <small>(Use several sheets if necessary)</small></p>			<p>ATTY. DKT. NO. 5181-72000  <b>APPLICANT:</b> Slaughter et al  <b>FILING DATE:</b> September 15, 2000</p>			<p>SERIAL NO. 09/660,553  <b>GROUP:</b> UNKNOWN</p>		
<p style="text-align: center;"><b>U.S. PATENT DOCUMENTS</b></p>								
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
KL	A54	5,644,768	7/1/97	Periwal, et al.				
	A55	5,652,888	7/29/97	Burgess, et al.				
	A56	5,655,148	8/5/97	Richman, et al.				
	A57	5,659,751	8/19/97	Heninger				
	A58	5,671,225	9/23/97	Hooper, et al.				
	A59	5,675,796	10/7/97	Hodges, et al.				
	A60	5,737,607	4/7/98	Hamilton, et al.				
	A61	5,745,678	4/28/98	Herzberg, et al.				
	A62	5,745,695	4/28/98	Gilchrist, et al.				
	A63	5,745,703	4/28/98	Cejtin, et al.				
	A64	5,745,755	4/28/98	Covey				
	A65	5,748,897	5/5/98	Katiyar				
	A66	5,754,849	5/19/98	Dyer, et al.				
	A67	5,757,925	5/26/98	Faybisenko				
	A68	5,761,656	6/2/98	Ben-Shachar				
	A69	5,764,897	6/9/98	Khalidi				
	A70	5,768,532	6/16/98	Megerian				
	A71	5,774,551	6/30/98	Wu, et al.				
	A72	5,778,228	7/7/98	Wei				
	A73	5,778,368	7/7/98	Hogan, et al.				
	A74	5,787,425	7/28/98	Bigus				
	A75	5,787,431	7/28/98	Shaughnessy				
	A76	5,790,548	8/4/98	Sistanizadeh, et al.				
	A77	5,802,367	9/1/98	Held, et al.				
	A78	5,808,911	9/15/98	Tucker, et al.				
	A79	5,809,507	9/15/98	Cavanaugh, III				
	A80	5,813,013	9/22/98	Shakib, et al.				

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<b>Form PTO-1449</b> (modified) List of Patents and Publications For Applicant's Information Disclosure Statement <b>JUL 1 9 2001</b> (Use several sheets if necessary)			ATTY. DKT. NO. 5181-72000 APPLICANT: Slaughter et al FILING DATE: September 15, 2000			SERIAL NO. 09/660,553 GROUP: UNKNOWN		
<b>U.S. PATENT DOCUMENTS</b>								
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	A81	5,815,149	9/29/98	Mutschler, III et al.				
	A82	5,815,709	9/29/98	Waldo, et al.				
	A83	5,815,711	9/29/98	Sakamoto, et al.				
	A84	5,818,448	10/6/98	Katiyar				
	A85	5,829,022	10/27/98	Watanabe, et al.				
	A86	5,832,219	11/3/98	Pettus				
	A87	5,832,529	11/3/98	Wollrath, et al.				
	A88	5,832,593	11/10/98	Wurst, et al.				
	A89	5,835,737	11/10/98	Sand, et al.				
	A90	5,842,018	11/24/98	Atkinson, et al.				
	A91	5,844,553	12/1/98	Hao, et al.				
	A92	5,845,129	12/1/98	Wendorf, et al.				
	A93	5,860,004	1/12/99	Fowlow, et al.				
	A94	5,860,153	1/12/99	Matena, et al.				
	A95	5,864,862	1/26/99	Kriens, et al.				
	A96	5,864,866	1/26/99	Henckel, et al.				
	A97	5,872,928	2/16/99	Lewis, et al.				
	A98	5,872,973	2/16/99	Mitchell, et al.				
	A99	5,875,335	2/23/99	Beard				
	A100	5,878,411	3/2/99	Burroughs, et al.				
	A101	5,884,024	3/16/99	Lin, et al.				
	A102	5,884,079	3/16/99	Furusawa				
	A103	5,887,134	3/23/99	Ebrahim				
	A104	5,890,158	3/30/99	House, et al.				
	A105	5,892,904	4/6/99	Atkinson, et al.				
	A106	5,933,497	8/3/99	Beetcher, et al.				
	A107	5,935,249	8/10/99	Stern, et al.				

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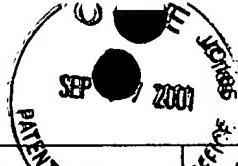
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<b>Form PTO-1449 (modified)</b> List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)			ATTY. DKT. NO. 5181-65400		SERIAL NO. 09/660,553		
			APPLICANT: Slaughter et al.		GROUP: 2152		
			FILING DATE: September 12, 2000				
<b>U.S. PATENT DOCUMENTS</b>							
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
K	D1	5,778,187	7/7/98	Monteiro, et al.			
	D2	5,832,529	11/3/98	Wollrath, et al.			
	D3	6,016,500	1/18/00	Waldo, et al.			
<b>FOREIGN PATENT DOCUMENTS</b>							
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
	C1	11-45187	10/10/97	JP			
	C2	2 253 079	8/26/92	GB			
	C3	2 262 825	6/30/93	GB			
	C4	2 305 087	3/26/97	GB			
	C5	300 516	1/25/89	EP			
	C6	351 536	1/24/90	EP			
	C7	384 339	8/29/90	EP			
	C8	472 874	3/4/92	EP			
	C9	474 340	3/11/92	EP			
	C10	497 022	8/5/92	EP			
	C11	555 997	8/18/93	EP			
	C12	565 849	10/20/93	EP			
	C13	569 195	11/10/93	EP			
	C14	697 655	2/21/96	EP			
	C15	660 231	6/28/95	EP			
	C16	651 328	5/3/95	EP			
	C17	625 750	11/23/94	EP			
	C18	718 761	6/26/96	EP			
	C19	767 432	4/9/97	EP			
	C20	778 520	6/11/97	EP			
	C21	794 493	9/10/97	EP			

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 For Applicant's Information  
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 (Use several sheets if necessary)

ATTY. DKT. NO. 5181-72000

SERIAL NO. 09/660,553

APPLICANT: Slaughter et al.

GROUP: Unknown

SEP 17 2001

FILING DATE: September 15, 2000

2153

**U.S. PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
KC	D1	5,778,187	7/7/98	Monteiro, et al.	✓	X	
KC	D2	5,832,529	11/3/98	Wollrath, et al.		X	
KC	D3	6,016,500	1/18/00	Waldo, et al.		X	

**FOREIGN PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
KC	C1	11-45187	10/10/97	JP	✓	X	
	C2	2 253 079	8/26/92	GB		X	
	C3	2 262 825	6/30/93	GB		X	
	C4	2 305 087	3/26/97	GB		X	
	C5	300 516	1/25/89	EP		X	
	C6	351 536 ✓	1/24/90	EP		X	
	C7	384 339 ✓	8/29/90	EP		X	
	C8	472 874	3/4/92	EP		X	
	C9	474 340	3/11/92	EP		X	
	C10	497 022	8/5/92	EP		X	
	C11	555 997	8/18/93	EP		X	
	C12	565 849	10/20/93	EP		X	
	C13	569 195	11/10/93	EP		X	
	C14	697 655	2/21/96	EP		X	
	C15	660 231	6/28/95	EP		X	
	C16	651 328	5/3/95	EP		X	
	C17	625 750	11/23/94	EP		X	
	C18	718 761	6/26/96	EP		X	
	C19	767 432 ✓	4/9/97	EP		X	
✓	C20	778 520	6/11/97	EP		X	
✓	C21	794 493	9/10/97	EP		X	

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ATTY. DKT. NO. 5181-72000

SERIAL NO. 09/660,553

SEP 17 2001

APPLICANT: Slaughter et al.

GROUP: Unknown

FILING DATE: September 15, 2000

2153

**FOREIGN PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
	C22	803 810	10/29/97	EP			✓
	C23	803 811	10/29/97	EP			✓
	C24	805 393	11/5/97	EP			✓
	C25	810 524	12/3/97	EP			✓
	C26	817 020	1/7/98	EP			✓
	C27	817 022	1/7/98	EP			✓
	C28	817 025	1/7/98	EP			✓
	C29	836 140	4/15/98	EP			✓
	C30	92/07335	4/30/92	WO			✓
	C31	92/09948	6/11/92	WO			✓
	C32	93/25962	12/23/93	WO			✓
	C33	94/03855	2/17/94	WO			✓
	C34	96/10787	4/11/96	WO			✓
	C35	96/03692	2/8/96	WO			✓
	C36	96/18947	6/20/96	WO			✓
	C37	96/24099	8/8/96	WO			✓
	C38	98/02814	1/22/98	WO			✓
	C39	98/04971	2/5/98	WO			✓

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

	C40	Jaworski, "Java 1.1 Developer's Guide, 2 <sup>nd</sup> Edition," Sams.net, 1997.
/	C41	Coulouris, et al., "Distributed Systems Concepts and Designs," Second Edition, Addison-Wesley, 1994.
/	C42	Mullender, "Distributed Systems," Second Edition, Addison-Wesley, 1993.
/	C43	Lindholm, et al., "The Java <sup>TM</sup> Virtual Machine Specification," Addison Wesley, 1996.
	D4	"SOAP: Simple Object Access Protocol," msdn online Web Workshop, Microsoft, April 26, 2000, <a href="http://msdn.Microsoft.com/xml/general/soapspec.asp">http://msdn.Microsoft.com/xml/general/soapspec.asp</a> , 34 pages.
/	D4	Rob Guth, "Sun tries on JacaSpaces for Distributed OS," August 1997, Vol. 19, Issue 34, 2 pages.
✓	D6	Microsoft, "Microsoft.NET: Realizing the Next Generation Internet," A Microsoft White Paper, June 2000, 8 pages.

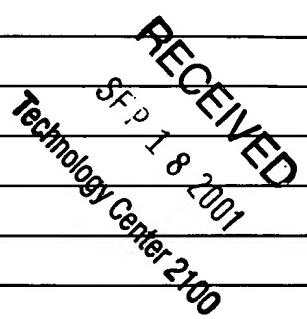
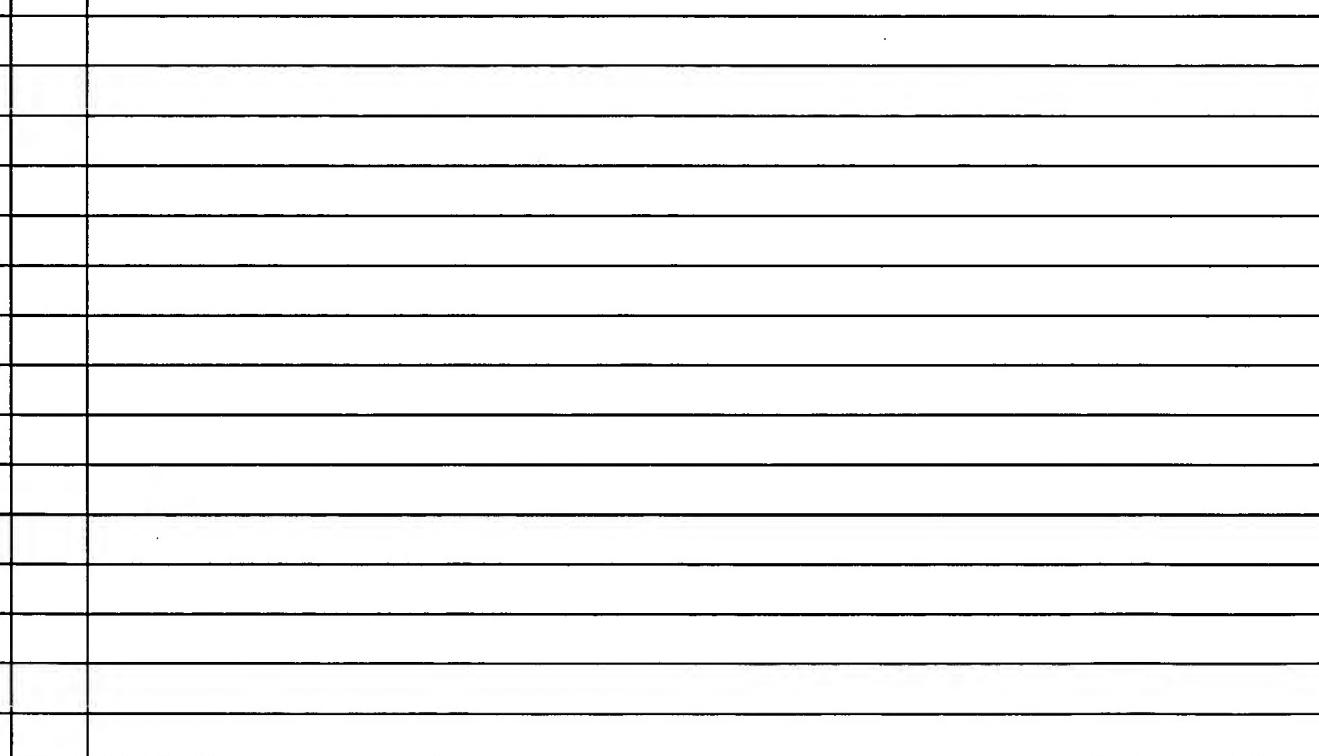
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<b>Form PTO-1449</b> (modified) List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)		ATTY. DKT. NO. 5181-65400 APPLICANT: Slaughter et al. FILING DATE: September 12, 2000	SERIAL NO. 09/660,553 GROUP: 2152
<i>JK</i>	D7	K. F. Eustice, et al., "A Universal Information Appliance," IBM Systems Journal, Vol. 38, No. 4, 1999, Pp. 575-601.	
<i>JK</i>	D8	Wycoff et al., "T Spaces," IBM Systems Journal, Vol. 37, No. 3-Java Technology, August 1998, 36 pages.	
<i>JK</i>	D9	Steve Morgan, "Jini to the Rescue," IEEE Spectrum, April 2000, Vol. 37, No. 4, 8 pages.	
			
			

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(Use several sheets if necessary)

ATTY. DKT. NO. 5181-65400

SERIAL NO. 09/660,553

APPLICANT: Slaughter et al.

GROUP: 2152

FILING DATE: September 12, 2000

2153

**FOREIGN PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
KC	C22	803 810	10/29/97	EP			
	C23	803 811	10/29/97	EP			
	C24	805 393	11/5/97	EP			
	C25	810 524	12/3/97	EP			
	C26	817 020	1/7/98	EP			
	C27	817 022	1/7/98	EP			
	C28	817 025	1/7/98	EP			
	C29	836 140	4/15/98	EP			
	C30	92/07335	4/30/92	WO			
	C31	92/09948	6/11/92	WO			
	C32	93/25962	12/23/93	WO			
	C33	94/03855	2/17/94	WO			
	C34	96/10787	4/11/96	WO			
	C35	96/03692	2/8/96	WO			
	C36	96/18947	6/20/96	WO			
	C37	96/24099	8/8/96	WO			
	C38	98/02814	1/22/98	WO			
✓	C39	98/04971	2/5/98	WO			

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

KC	C40	Jaworski, "Java 1.1 Developer's Guide, 2 <sup>nd</sup> Edition," Sams.net, 1997.
	C41	Coulouris, et al., "Distributed Systems Concepts and Designs," Second Edition, Addison-Wesley, 1994.
	C42	Mullender, "Distributed Systems," Second Edition, Addison-Wesley, 1993.
	C43	Lindholm, et al., "The Java <sup>TM</sup> Virtual Machine Specification," Addison Wesley, 1996.
	D4	"SOAP: Simple Object Access Protocol," msdn online Web Workshop, Microsoft, April 26, 2000, <a href="http://msdn.Microsoft.com/xml/general/soapspec.asp">http://msdn.Microsoft.com/xml/general/soapspec.asp</a> , 34 pages.
✓	D4	Rob Guth, "Sun tries on JacaSpaces for Distributed OS," August 1997, Vol. 19, Issue 34, 2 pages.
✓	D6	Microsoft, "Microsoft.NET: Realizing the Next Generation Internet," A Microsoft White Paper, June 2000, 8 pages.

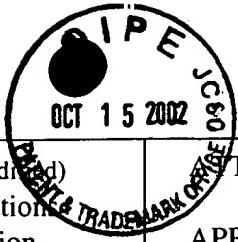
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<b>Form PTO-1449</b> (modified) O 1 PL List of Patents and Publications For Applicant's Information Disclosure Statement OCT 23 2003 (Use several sheets if necessary)			ATTY. DKT. NO. 5181-65400 APPLICANT: Slaughter et al. FILING DATE: September 12, 2000			SERIAL NO. 09/660,553 GROUP: 2152	
<b>U.S. PATENT DOCUMENTS</b>							
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
<i>KC</i>	F1	6,560,633	5/6/03	Roberts, et al.			
<i>KC</i>	F2	6,446,108	9/3/02	Rosenburg, et al.			
<i>KC</i>	F3	2001/0047294	11/29/01	Rothschild			
<i>KC</i>	F4	2002/0120685	8/29/02	Srivastava, et al.			
<i>KC</i>	F5	2001/0054001	12/20/01	Robinson			
<b>FOREIGN PATENT DOCUMENTS</b>							
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
	F6	965 917	12/22/99	EP			
<b>OTHER ART</b> (Including Author, Title, Date, Pertinent Pages, Etc.)							
<i>KC</i>	F7	Czerwinski, et al. "An Architecture for a Secure Service Discovery Service," Copyright ACM 1999, XP000896069, pp. 24-35					
<i>KC</i>	F8	Eroneri, et al., "Extending Jini With Decentralized Trust Management," 2000, XP002214573, 5 pages.					
<i>KC</i>	F9	Lai, et al., "User Authentication and Authorization in the Java Platform," IEEE, 1999, pp. 285-290.					

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<b>Form PTO-1449 (modified)</b> <small>PATENT &amp; TRADEMARK OFFICE of Patents and Publications</small> For Applicant's Information Disclosure Statement <small>(Use several sheets if necessary)</small>		ATTY. DKT. NO. 5181-65400	SERIAL NO. 09/660,553
		APPLICANT: Slaughter et al.	GROUP <u>2152</u> <u>2153</u>
		FILING DATE: September 12, 2000	

**U.S. PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
<u>PL</u>	E1	6,324,566	11/27/01	Himmel, et al.			
<u>PL</u>	E2	6,332,062	12/18/01	Phillips, et al.			<del>RECEIVED</del>
<u>PL</u>	E3	6,405,175	6/11/02	Ng			
							NOV 08 2002

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Technology Center 2100

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
	E4	892 530	1/20/99	EP			

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

<u>KC</u>	E5	Morgenthaler, et al., U.S. Publication No. 2002/0032677, Published March 14, 2002, entitled "Methods for Creating, Editing, and Updating Searchable Graphical Database and Databases of Graphical Images and Information and Displaying Graphical Images From a Searchable Graphical Database or Databases in a Sequential or Slide Show Format".
	E6	Granik, et al., U.S. Publication No. 2002/0010757, Published January 24, 2002, entitled "Method and Apparatus for Replacement of On-Line Advertisements".
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	E9	Ullman, et al., U.S. Publication No. 2002/0038344, Published March 28, 2002, entitled "Enhanced Video Programming System and Method for Incorporating and Displaying Retrieved Integrated Internet Information Segments".
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	E11	XP-002211482, "Federating and Administering Lookup Services", pp. 320-329, 405-419, 635-656, June 1999.
	E12	XP-002212130, "The Search API's", pp. 297-305, 320-329, 635-656, June 1999.
	E13	"Network Nirvana and the Intelligent Device", Spotlight, XP-002927392, pp. 16-19, April 1999.
<u>J</u>	E14	"Behavioral Specification Using XML", McKee and Marshall, IEEE, pp. 53-59, 1999.

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